

05-07-01

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05/04/01
 1c962 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **US 000140**
 First Named Inventor or Application Identifier
Epstein et al
 Express Mail Label No. **EL 613430040 US**
 Date of Deposit **4 May 2001**

1c921 U.S. PTO
 09/848885
 05/04/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ **Fee Transmittal Form (2)**
 (Submit an original, and a duplicate for fee processing)
2. ☒ **Specification** *Total Pages 21*
 (preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ **Drawings (35 USC 113) Total Sheets 2**
4. ☒ **Oath or Declaration** *Total Pages 2*
 - a. ☒ **Newly executed** (original or copy)
 - b. ☐ **Copy from a prior application**
 (37 CFR 1.63(d))
 (for continuation/divisional with Box 17 completed)
 [Note Box 5 below]
 - i. ☐ **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ **Incorporation By Reference**
 (useable if Box 4b is checked)
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ **Microfiche Computer Program (Appendix)**
7. ☐ **Nucleotide and/or Amino Acid Sequence Submission**
 (if applicable, all necessary)
 - a. ☐ **Computer Readable Copy**
 - b. ☐ **Paper Copy (identical to computer copy)**
 - c. ☐ **Statement verifying identity of above copies**

ACCOMPANYING APPLICATION PARTS

8. ☒ **Assignment Papers** (cover sheet & document(s)) 2
9. ☐ **37 CFR 3.73(b) Statement** ☐ **Power of Attorney**
 (when there is an assignee)
10. ☐ **English Translation Document** (if applicable)
11. ☐ **Information Disclosure** ☐ **Copies of Statement (IDS)/PTO 1449** **IDS Citations**
12. ☐ **Preliminary Amendment**
13. ☒ **Return Receipt Postcard (MPEP 503)**
 (Should be specifically itemized)
14. ☐ **Small Entity** ☐ **Statement filed in Prior Statement(s)** **Application, Status still proper and desired.**
15. ☐ **Certified Copy of Priority Document(s)**
 (if foreign priority is claimed)
16. ☒ **Other**
☒ **Check in the amount of \$804**
☐

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:

18. **CORRESPONDENCE ADDRESS: Corporate Patent Counsel**
U.S. Philips Corporation; 580 White Plains Road; Tarrytown, N.Y. 10591

19. Certificate of Express Mail:

I hereby certify that this paper and the items identified above are being deposited with the U.S. Postal Service "Express Mail Post Office to Addresses" service under 37 C.F.R. Section 1.10 on the 'Date of Deposit', indicated above, and is addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.


 Robert M. McDermott, Registration Number 41,508

FEE TRANSMITTAL

Note: Effective October 1, 1997.
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 804

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

| | |
|------------------------|--|
| Deposit Account Number | |
| Deposit Account Name | |

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the mailing of the Notice of Allowance

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. FILING FEE

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|----------|----------|------------------------|----------|
| 101 | 760 | 201 | 380 | Utility filing fee | 710 |
| 106 | 310 | 206 | 155 | Design filing fee | |
| 107 | 480 | 207 | 240 | Plant filing fee | |
| 108 | 760 | 208 | 380 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

SUBTOTAL (1) (\$710)

2. CLAIMS

| Claims | Extra | Fee from below | Fee Paid |
|---------------|-----------|----------------|----------|
| Total 23 | (-20 =) 3 | 18 | 54 |
| Indep. 2 | (-3 =) | | 0 |
| Multiple Dep. | | | |

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description |
|-----------------------|-----------------------|----------|----------|---|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 78 | 202 | 39 | Independent claims in excess of 3 |
| 104 | 260 | 204 | 130 | Multiple dependent claim |
| 109 | 78 | 209 | 39 | Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$54)

| | |
|------------------------|---------------|
| Application Number | 60/211,997 |
| Filing Date | 6/16/00 |
| First Named Inventor | Epstein et al |
| Group Art Unit | |
| Examiner Name | |
| Attorney Docket Number | US 000140 |

FEE CALCULATION (continued)

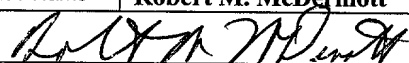
3. ADDITIONAL FEES

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|----------|----------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 380 | 216 | 190 | Extension for reply within second month | |
| 117 | 870 | 217 | 435 | Extension for reply within third month | |
| 118 | 1,360 | 218 | 680 | Extension for reply within fourth month | |
| 128 | 1,850 | 228 | 925 | Extension for reply within fifth month | |
| 119 | 300 | 219 | 150 | Notice of Appeal | |
| 120 | 300 | 220 | 150 | Filing a brief in support of an appeal | |
| 121 | 260 | 221 | 130 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,210 | 241 | 605 | Petition to revive - unintentional | |
| 142 | 1,210 | 242 | 605 | Utility issue fee (or reissue) | |
| 143 | 430 | 243 | 215 | Design issue fee | |
| 144 | 580 | 244 | 290 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 40 |
| 146 | 760 | 246 | 380 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 760 | 249 | 380 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| Other fee (specify) | | | | | |
| Other fee (specify) | | | | | |

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$40)

SUBMITTED BY

| | | | |
|-----------------------|---|--------------------------|--------|
| Typed or Printed Name | Robert M. McDermott | Complete (if applicable) | |
| Signature |  | Reg. Number | 41,508 |
| Date | 4 May 01 | Deposit Account | |
| | | User ID | |